

11/15/01

JC904 U.S. PTO

12-03-01

A

UTILITY PATENT APPLICATION TRANSMITTAL

Form for new nonprovisional applications under 37 CFR § 1.53(b)

Attorney Docket No.	2000U055.US
Inventors	AGAPIOU, Agapios Kyriacos, KUO, Chi-I, GLOWCZWSKI, David M. and ACKERMAN, Steven K.
Title	A CATALYST COMPOSITION AND METHODS FOR ITS PREPARATION AND USE IN A POLYMERIZATION PROCESS
Express Mail Label No.	EV052786313US

cc 955 U.S. PTO
09/99855

11/15/01

APPLICATION ELEMENTS

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
- ☒ Specification Total Pages - 36 -
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications (if any)
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☐ Drawing(s) (35 U.S.C. 113) Total Sheets - 0 -
- ☒ Oath or Declaration Total Pages - 3 -
 - ☐ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/division with Box 15 completed)
[Note Box 5 below]
 - ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

- ☐ Incorporation By Reference (useable if Box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Microfiche Computer Program (Appendix)

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Other: _____

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
- of prior applications No: _____, from which priority is claimed under 35 U.S.C. § 120

Prior application information: Examiner _____ Group Art Unit _____

16. If a NONPROVISIONAL APPLICATION based upon a foreign or U.S. provisional application, priority is claimed from applications
- No. 60/256,744, country US, filed on December 19, 2000.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



or ☐ Correspondence address below

(Insert Customer No. or Attach bar-code label here)

Name	Univation Technologies, LLC				
Address	5555 San Felipe, Suite 1950				
City	Houston	State	Texas	Zip Code	77056
Country	U.S.A.	Telephone	(713) 892-3711	Fax	(713) 892-3687
Name (print/type)	Osborne K. McKinney		Registration No. (Attorney/Agent)	40,084	
Signature			Date	October 31, 2001 11-15-01	

FEE TRANSMITTAL

Note: Effective November 10, 1998.
Patent fees are subject to annual revision.

Complete if Known

Application Number	New Application
Filing Date	November 15, 2001
Inventors	Agapiou et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2000U055.US

Total Amount of Payment (\$908.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: 50-0589

Deposit Account Name: Univation Technologies, LLC

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code	Fee Description	Fee \$	Fee Paid
101 740	Utility filing fee		740.00
106 330	Design filing fee		
107 510	Plant filing fee		
108 740	Reissue filing fee		
114 160	Provisional filing fee		
SUBTOTAL (1)			740.00

2. CLAIMS

	Extra	Fee from below	Fee Paid
Total Claims 18 - 20 = 0 x 18 =			.00
Independent Claims 5 - 3 = 2 x 84 =			168
Multiple Dependent Claims 0 x 280.00 =			.00

Large Entity

Fee Code	Fee \$	Fee Description
103 18		Claims in excess of 20
102 84		Independent claims in excess of 3
104 280		Multiple dependent claim
109 84		Reissue independent claims over original patent
110 18		Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		168.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105 130		Surcharge - late filing fee or oath	
127 50		Surcharge - late provisional filing or cover sheet.	
139 130		Non-English specification	
147 2,520		For filing a request for reexamination	
112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840*		Requesting publication of SIR after Examiner action	
115 110		Extension for reply within first month	
116 400		Extension for reply within second month	
117 920		Extension for reply within third month	
118 1,440		Extension for reply within fourth month	
128 1,960		Extension for reply within fifth month	
119 310		Notice of Appeal	
120 320		Filing a brief in support of an appeal	
121 280		Request for oral hearing	
138 1,510		Petition to institute a public use proceeding	
140 110		Petition to revive - unavoidable	
141 1,280		Petition to revive - unintentional	
142 1,280		Utility issue fee (or reissue)	
143 460		Design issue fee	
144 620		Plant issue fee	
122 130		Petition to the Commissioner	
123 50		Petitions related to provisional applications	
126 180		Submission of information Disclosure Stmt.	
581 40		Recording each patent assignment per property (times number of properties)	
148 110		Statutory disclaimer	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

.00

SUBTOTAL (3)

Typed or Printed Name Osborne K. McKinney

Reg. No. 40,084

Signature

Osborne K. McKinney

Date

November 15, 2001

Deposit Account User ID